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REINFORCEMENT LEARNING WITH CONTINUOUS ACTIONS (AI&ML)

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ABSTRACT

Reinforcement Learning (RL) has emerged as a powerful paradigm for training agents to make optimal decisions in complex environments. While discrete action spaces have been extensively explored, continuous action spaces present unique challenges due to their infinite dimensionality and the need for efficient exploration strategies. This paper delves into the state-of-the-art techniques for RL with continuous actions, focusing on policy gradient methods, actor-critic architectures, and function approximators. We discuss the advantages and limitations of various approaches, including deterministic policy gradient, proximal policy optimization, and deep deterministic policy gradient. Furthermore, we explore recent advancements in exploration techniques, such as curiosity-driven exploration and intrinsic motivation, to address the challenge of efficiently sampling from high-dimensional continuous action spaces. Finally, we highlight potential research directions and open challenges in the field, such as handling sparse rewards, transferring knowledge between tasks, and ensuring safety and robustness in real-world applications.

Keywords: Reinforcement Learning, Continuous Action Spaces, Policy Gradient Methods, Actor-Critic Architectures, Function Approximators, Deterministic Policy Gradient, Proximal Policy Optimization, Deep Deterministic Policy Gradient, Exploration Techniques, Curiosity-Driven Exploration, Intrinsic Motivation, Sparse Rewards, Knowledge Transfer, Safety and Robustness.

I. INTRODUCTION

Reinforcement Learning (RL) has emerged as a powerful paradigm for training agents to make optimal decisions in complex environments. While discrete action spaces have been extensively studied, continuous action spaces present unique challenges due to their infinite dimensionality and the need for efficient exploration strategies. This paper delves into the state-of-the-art techniques for RL with continuous actions, focusing on policy gradient methods, actor-critic architectures, and function approximators.

We discuss the advantages and limitations of various approaches, including deterministic policy gradient, proximal policy optimization, and deep deterministic policy gradient. Furthermore, we explore recent advancements in exploration techniques, such as curiosity-driven exploration and intrinsic motivation, to address the challenge of efficiently sampling from high-dimensional continuous action spaces. Finally, we highlight potential research directions and open challenges in the field, such as handling sparse rewards, transferring knowledge between tasks, and ensuring safety and robustness in real-world applications.

A. OBJECTIVES

The objectives of the project are as follows:

1. **Survey and analyse**: Existing state-of-the-art techniques for Reinforcement Learning (RL) with continuous action spaces.

2. **Compare and contrast:** Different policy gradient methods, actor-critic architectures, and function approximators for their effectiveness in handling continuous action spaces.

3. **Investigate:** Recent advancements in exploration techniques specifically designed for continuous action spaces, such as curiosity-driven exploration and intrinsic motivation.

4. **Identify and address:** Key challenges and limitations in RL with continuous actions, including handling sparse rewards, transferring knowledge between tasks, and ensuring safety and robustness.

5. **Propose:** Potential research directions and future avenues for exploration in the field of RL with continuous actions.



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B. SCOPE OF PROJECT

This research project aims to contribute to the advancement of

Reinforcement Learning (RL) with continuous action spaces.

The specific scope includes:

• **Comprehensive Literature Review:** Conduct a thorough review of existing research on RL with continuous actions, focusing on policy gradient methods, actor-critic architectures, function approximators, and exploration techniques.

• **Evaluation of State-of-the-Art Algorithms:** Implement and evaluate popular RL algorithms for continuous action spaces, such as deterministic policy gradient, proximal policy optimization, and deep deterministic policy gradient.

• **Exploration of Novel Exploration Techniques:** Investigate and experiment with innovative exploration techniques, including curiosity-driven exploration and intrinsic motivation, to improve sample efficiency and performance in challenging environments.

• Addressing Challenges and Limitations: Identify and address key challenges and limitations in RL with continuous actions, such as handling sparse rewards, transferring knowledge between tasks, and ensuring safety and robustness.

• **Real-World Application:** Explore potential applications of RL with continuous actions in real-world domains, such as robotics, autonomous systems, and game AI.

II. LITERATURE SURVEY

Introduction:

Reinforcement Learning (RL) has emerged as a powerful paradigm for training agents to make optimal decisions in complex environments. While discrete action spaces have been extensively explored, continuous action spaces present unique challenges due to their infinite dimensionality and the need for efficient exploration strategies. This literature survey provides a comprehensive overview of the state-of-the-art techniques and research advancements in RL with continuous actions.

Policy Gradient Methods:

Policy gradient methods directly optimize the policy function to maximize expected rewards. Early works like REINFORCE [Williams, 1992] laid the foundation for this approach. More recent advancements include:

• **Deterministic Policy Gradient (DPG)** [Silver et al., 2014]: DPG introduces a deterministic policy, which can be more efficient in continuous action spaces.

• **Proximal Policy Optimization (PPO)** [Schulman et al., 2017]: PPO addresses the issue of large policy updates that can lead to instability by constraining the policy updates.

• **Deep Deterministic Policy Gradient (DDPG)** [Lillicrap et al., 2015]: DDPG combines DPG with deep Q-learning to handle high-dimensional state and action spaces.

Actor-Critic Architectures:

Actor-critic architectures combine policy gradient methods with value-based methods to improve learning efficiency. They consist of an actor network that outputs actions and a critic network that estimates the value function.

• **Deep Deterministic Policy Gradient (DDPG)**: DDPG is a prominent example of an actor-critic architecture for continuous action spaces.

• **Asynchronous Advantage Actor-Critic (A3C)** [Mnih et al., 2016]: A3C uses multiple parallel agents to improve exploration and stability.

Function Approximators:

Function approximators are essential for handling high-dimensional state and action spaces. Deep neural networks have become the de facto choice due to their ability to represent complex functions.

• **Deep Q-Networks (DQN)** [Mnih et al., 2015]: DQN uses deep neural networks to approximate the Q-value function.



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• **Deep Deterministic Policy Gradient (DDPG)**: DDPG also relies on deep neural networks for both the actor and critic networks.

Exploration Strategies:

Efficient exploration is crucial in continuous action spaces to avoid getting stuck in local optima. Various strategies have been proposed:

- Gaussian Noise: Adding Gaussian noise to the actions can encourage exploration.
- **Curiosity-Driven Exploration:** Agents can be motivated to explore novel states or actions.
- Intrinsic Motivation: Agents can be rewarded for learning new skills or acquiring new knowledge.

Challenges and Future Directions:

Despite significant advancements, RL with continuous actions still faces several challenges:

- Sparse Rewards: Many real-world environments provide sparse rewards, making learning difficult.
- **Safety and Robustness:** Ensuring the safety and robustness of RL agents in real-world applications is a critical concern.

• **Transfer Learning:** Transferring knowledge between tasks can improve learning efficiency and reduce data requirements.

A. PROBLEM STATEMENT

While Reinforcement Learning (RL) has made significant strides in solving problems with discrete action spaces, the challenge of handling continuous action spaces remains a significant hurdle. The infinite dimensionality of continuous action spaces necessitates efficient exploration strategies and robust function approximation techniques. Additionally, the sparsity of rewards in many real-world environments poses a challenge for RL agents, as they may struggle to learn meaningful policies without sufficient feedback. Furthermore, transferring knowledge between different tasks in continuous action spaces is an open research problem, as traditional transfer learning techniques may not be directly applicable. Finally, ensuring the safety and robustness of RL agents in real-world applications is crucial, but it can be difficult to guarantee these properties when dealing with continuous actions and complex environments.

III. PROPOSED SYSTEM

A Hybrid Actor-Critic Framework for Efficient Exploration in Continuous Action Spaces.

Overview:

This proposed system aims to address the challenges of efficient exploration in high-dimensional continuous action spaces within the context of Reinforcement Learning (RL). We propose a hybrid actor-critic framework that combines the strengths of deterministic policy gradient (DPG) and proximal policy optimization (PPO) to achieve a balance between exploration and exploitation.

Key Components:

1. Deterministic Policy Gradient (DPG) Network:

- A neural network that directly outputs continuous actions.
- Used to generate deterministic policies that can be efficiently evaluated.

2. Proximal Policy Optimization (PPO) Network:

- A policy gradient method that uses clipped surrogate objectives to ensure policy updates are not too large.
- Used to improve policy exploration by allowing for larger policy changes.
- 3. Hybrid Exploration Strategy:
- $\circ~$ A combination of DPG and PPO to balance exploration and exploitation.

 $\circ~$ The DPG network is used to generate initial actions, while the PPO network is used to refine the policy and encourage exploration.

- A mechanism is proposed to dynamically
- o adjust the balance between DPG and PPO based on the agent's performance.



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4. Function Approximator:

- $\circ~$ A neural network that approximates the value function or Q-function.
- \circ $\;$ Used to evaluate the quality of different actions and guide policy updates.

IV. PROPOSED METHODOLOGY

1. Initialization:

- Initialize the DPG and PPO networks with random weights.
- \circ $\;$ Set initial parameters for the hybrid exploration strategy.

2. Interaction with Environment:

- The agent interacts with the environment, taking actions generated by the DPG network.
- \circ $\;$ The agent receives rewards and updates its experience buffer.

3. Policy Update:

- Sample a batch of experiences from the buffer.
- Use the PPO network to update the policy, applying the clipped surrogate objective to ensure stability.
- $\circ~$ Adjust the balance between DPG and PPO based on the agent's performance.

4. Value Function Update:

- Update the function approximator using the sampled experiences.
- $\circ~$ Use the updated value function to guide policy updates.

5. Repeat:

• Continue the process of interacting with the environment, updating the policy and value function until convergence or a desired performance level is achieved.

Expected Outcomes:

- Improved exploration efficiency in high-dimensional continuous action spaces.
- Enhanced sample efficiency and faster convergence.
- Robustness to sparse reward environments.
- Applicability to a wide range of RL tasks with continuous actions.

Future Work:

- Explore different hybrid exploration strategies and parameter tuning techniques.
- Investigate the use of intrinsic motivation or curiosity-driven exploration to further enhance exploration.
- Extend the proposed framework to handle multi-agent RL scenarios.

V. RESULT AND DISCUSSION

Experimental Setup:

To evaluate the performance of different RL algorithms on continuous action spaces, we conducted experiments on a variety of benchmark environments, including:

- MuJoCo: A physics-based simulator for complex robotic tasks.
- OpenAI Gym: A toolkit for developing and comparing RL algorithms.
- Custom Environments: Specifically designed tasks to test the scalability and robustness of algorithms.

We compared the following algorithms:

- Deterministic Policy Gradient (DPG)
- Proximal Policy Optimization (PPO)
- Deep Deterministic Policy Gradient (DDPG)
- Soft Actor-Critic (SAC)

Performance Evaluation Metrics:

We evaluated the performance of each algorithm using the following metrics:

• Average Reward: The average cumulative reward obtained over multiple episodes.



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- Learning Curve: The rate at which the agent's performance improves over time.
- Sample Efficiency: The number of samples required to achieve a certain level of performance.

Results:

Our experiments demonstrated the following key findings:

• PPO and SAC consistently outperformed DPG and DDPG in terms of both average reward and sample efficiency.

• SAC was particularly effective in handling complex environments with sparse rewards, due to its exploration bonus mechanism.

• PPO exhibited a good balance between exploration and exploitation, making it suitable for a wide range of tasks.

• DDPG struggled in environments with high-dimensional action spaces, often leading to premature convergence.

Discussion:

The superior performance of PPO and SAC can be attributed to several factors:

- Off-policy learning: These algorithms allow the agent to learn from past experiences, which can improve sample efficiency.
- Exploration bonuses: SAC's intrinsic motivation mechanism encourages exploration, helping the agent to discover new and potentially rewarding states.

• Policy regularization: PPO's clipping objective prevents the policy from updating too drastically, leading to more stable training.

While PPO and SAC have shown promising results, there are still open challenges in RL with continuous actions:

- Handling sparse rewards: Many real-world environments have sparse reward structures, making it difficult for agents to learn.
- Transferring knowledge: Developing methods for transferring knowledge between tasks can help agents learn more efficiently.

• Ensuring safety and robustness: RL agents must be able to operate safely and reliably in real-world environments.

VI. CONCLUSION

In conclusion, this paper has explored the state-of-the-art techniques for Reinforcement Learning (RL) with continuous action spaces. We have discussed the advantages and limitations of various approaches, including policy gradient methods, actor-critic architectures, and function approximators. Furthermore, we have explored recent advancements in exploration techniques to address the challenge of efficiently sampling from high-dimensional continuous action spaces.

While significant progress has been made in RL with continuous actions, several challenges remain. Handling sparse rewards, transferring knowledge between tasks, and ensuring safety and robustness in real-world applications are active areas of research. Addressing these challenges will be crucial for the continued development of RL and its applications in various domains.

Future research directions may include exploring novel exploration techniques, developing more efficient function approximators, and integrating RL with other AI and ML techniques, such as deep learning and imitation learning. By addressing these challenges and exploring new avenues, we can unlock the full potential of RL with continuous actions and create more intelligent and capable agents.

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