

## OVERVIEW ON: REGULATION OF QUALITY ASSURANCE IN HERBAL DRUG INDUSTRY

**Phoke S.V.\*1, Shingare Gayatri.\*2, Hatkar A.D.\*3, Jaybhaye S.S.\*4, Wagh K.G.\*5,  
Chalge S.D.\*6, Pawar P.G.\*7, Dugane G.R.\*8**

\*1,2,3,4,5,6,7,8Department Of Pharmaceutics, Institute Of Pharmacy, Badnapur, Jalna, (MS), India.

Corresponding Author Email: phokesandip@gmail.com

### ABSTRACT

The current work is an effort to recapitulate the numerous quality assurances of herbal formulations as well as their parameters. Herbal medicines are important options for the treatment of several illnesses. Although their therapeutic applicability has been demonstrated throughout history, several concerns about their safety and usefulness are raised regularly. Quality control of articles of botanical origin, including plant materials, plant extracts, and herbal medicines, remains a challenge. Traditionally, qualitative (e.g., identification and chromatographic profile) and measurable (e.g., content analyses) markers are applied for this purpose. The quality assurance parameters that must be strictly followed for the herbal formulations during and after the manufacturing process, for the finished products in order to ensure their effectiveness, stability, and protection during the product's shelf life, have been briefly accounted for. The primary constraints are the absence of formulation for raw materials, processing techniques, and final goods, dose formulation, and the absence of quality control standards. To assure the quality, safety, and efficacy in herbal medicines using current, appropriate GMP standards.

**Keywords:** Quality Control, Herbal Drugs, Medicinal Plants & GMP, Herbal Medicines.

### I. INTRODUCTION

Herbal formulations that originate from natural sources were found to have excellent therapeutic potential, some of these compounds have been used in modern as well as traditional medicine. These compounds are mostly genetically encoded and produced by secondary metabolic pathways and are biochemically produced by microbes, marine animals, insects and amphibians to stay alive. [1]

Traditional Flavouring medication (HM) and its formulations are wide utilized in several fields for thousands of years. However, oriental countries like China, Republic of Korea and Japan square measure one in all the characteristics of oriental Chinese herbs. The preparation is that each one flavouring medicines square measure either one herb or a fancy flavouring assortment. The formula is extracted with boiling water throughout the boiling. This can be the most reason for internal control Oriental herbs square measure harder than western herbs medication. Like "General pointers for Methodology" For the study and analysis of ancient medication (World Health Organization, 2000) the method of evaluating Quality, Purity of crude medication supported numerous factors like Morphological, microscopic, physical, chemical, Biological observation is termed as "Standardization"[2].

According to the World Health Organization (WHO), due to indigence and lack of access to modern medicine, about 65-80% of the world's population which lives in developing countries depends necessary on plants for primary health care.1 Also the overuse of synthetic drugs which end in higher incidence of adverse drug reactions, have motivated the humans to travel back to nature for safer remedies.2 India is that the 8th largest country having a complete of around 47,000 plant species, out of which over 7,500 species have medicinal values. Among these medicinal plants only 800 species are claimed to be in use and around 120 species are employed in large quantities. Currently the key pharmaceutical companies have demonstrated renewed interest in analysing higher plants as a source for brand new lead structures and also for the event of standardized phytotherapeutic agents with proved efficacy, safety and quality.[3]

It is now increasingly accepted worldwide that screening natural products could be more practical strategies for locating new chemical entities as natural product libraries have a broader distribution of molecular properties as compared to synthetic and combinatorial equivalents, such as molecular mass, octanol-water

coefficient, and ring system diversity.[4]The expanded use of herbal medicines worldwide has led to concerns regarding its safety, quality and effectiveness. The quality control of herbal drugs and their formulations is of paramount significance in justifying their acceptability in modern system of drug. One of the vital problems faced by user industry is non- availability of rigid quality control profiles and evaluation parameters for herbal formulations. [5]

**History:** Home grown medication is the most seasoned type of medical care in the world. Spices had been utilized by all societies since the beginning. It was an indispensable piece of the improvement of present day development. The plants gave food, dress, asylum, and medication. A large part of the restorative utilization of plants appears to have been created through perceptions of wild creatures, and by experimentation. They deliberately gathered data on spices and grew very much characterized natural pharmacopeias. For sure, well into the 20th century a large part of the pharmacopeia of logical medication was gotten from the home-grown legend of local people groups. Numerous medications usually utilized today are of natural birthplace. In reality, about 25% of the physician recommended drugs apportioned in the United States contain in any event one dynamic fixing got from plant material. Some are produced using plant removes; others are integrated to imitate a characteristic plant compound. The utilization of plants as medication is more seasoned than written history. As quiet observer to this reality marshmallow root, hyacinth, and yarrow have been found painstakingly tucked around the bones of a Stone Age man in Iraq. These three therapeutic spices keep on being utilized today. Marshmallow root is a demulcent spice, mitigating to excited or aggravated mucous layers, for example, a sensitive throat or bothered stomach related lot. Hyacinth is a diuretic that urges tissues to surrender abundance water. The main U.S. Pharmacopoeia was distributed in 1820. This volume incorporated a definitive posting of home grown medications, with depictions of their properties, uses, doses, and trial of immaculateness. It was intermittently changed and turned into the lawful norm for clinical mixes in 1906. However, as Western medication developed from a workmanship to a science in the nineteenth century, data that had at one time been widely accessible turned into the space of similarly few. When logical strategies were created to remove and orchestrate the dynamic fixings in plants, drug research centers took over from suppliers of restorative spices as the makers of medications. The utilization of spices, which for the majority of history had been standard clinical practice, started to be viewed as informal, or possibly offbeat, and to fall into relative obscurity.[6]

Numerous medications, including strychnine, anti-inflammatory medicine, vincristine, taxol, curare, and ergot, are of natural source. Around one-fourth of the physician recommended drugs administered by local area drug stores in the United States contain at any rate one dynamic fixing got from plant material. [7]

East India. India, situated among China and the West, went through a comparable cycle in the advancement of its medication. The recuperating that occurred before India's Ayurvedic clinical corpus was like that of antiquated Egypt or China (i.e., infection was seen as a discipline from the divine beings for a specific sin). Ayurvedic medication arose during the ascent of the methods of reasoning of the Upanishads, Buddhism, and different ways of thinking in India. Spices assumed a significant part in Ayurvedic medication. The primary Ayurvedic book on inner medication, the Charaka Samhita, depicts 582 herbs. [8]

- **Herbal drug** Drugs classified as herbal are those whose active constituents come from plant tissues like leaves, roots, or flowers. Base material Herbal components make up the raw ingredients. Plant components such roots, barks, seeds, fruits, leaves, flowers, and stems are considered herbal ingredients. The amount of active components in the herbal remedies affects the value of both the raw materials.
- **Herbal preparation** the term "herbal formulation" refers to a dosage form made up of one or more herbal ingredients or extracted herbs in precise amounts can provide specific nutritional or cosmetic benefits intended for use in the diagnosis, treatment, and mitigation of human or animal diseases as well as to change the anatomy or physiology of humans or animals.[9]

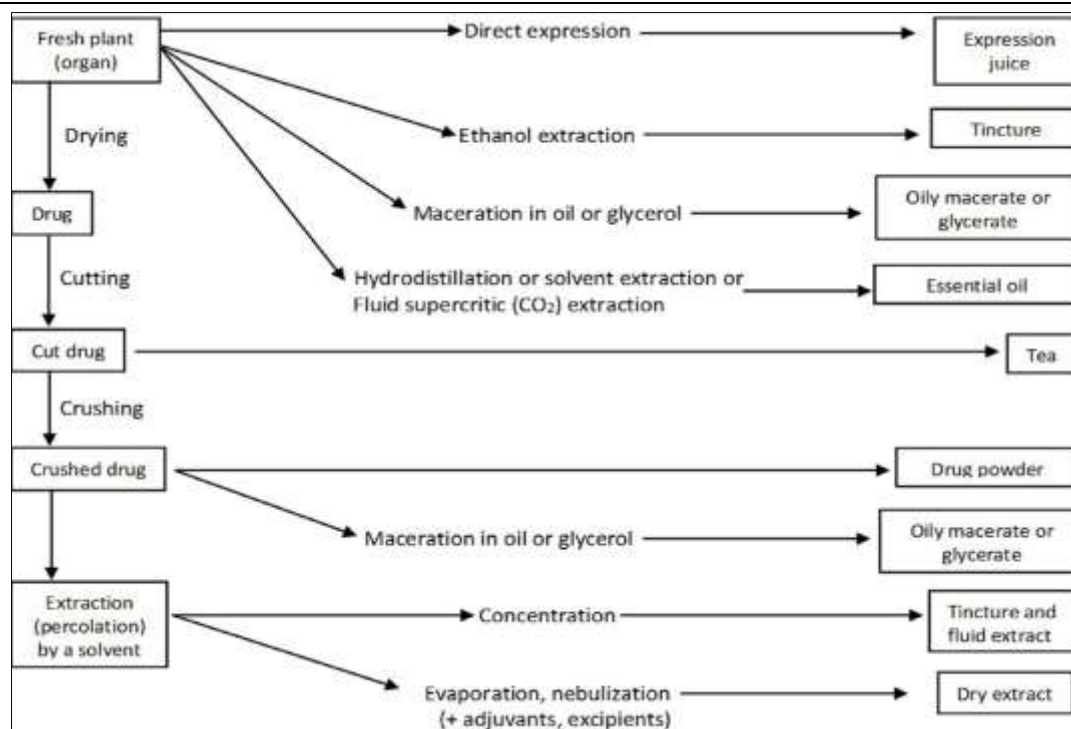


Figure 1: The major types of herbal preparations.

## II. QUALITY ASSURANCE OF HERBAL DRUGS

Quality confirmation of home-grown items might be guaranteed by appropriate control of the natural fixings and by methods for GMP. Some natural items have numerous natural fixings with just modest quantity of individual spices being available. Compound and chromatographic tests are helpful for creating completed items particulars. Steadiness and timeframe of realistic usability of homegrown items ought to be set up by the production. There ought to be no distinction in standard set for the nature of various dose structures, for example, tablet and case of home grown cures just as from those of other drug readiness. In UK, for the authorized home grown cures the European logical agreeable for phototherapy monographs are a significant turn of events. In India most of the home grown cures accessible are being advertised for quite a while, indeed, for some items it could be before D and C act 1948. The condition, in other non-industrial nations for the deal and creation of natural items are like UK. Quality, security and viability of homegrown medications need to guarantee to give sound logical balance to upgrade purchaser certainty and to improve business possibilities for natural medicines. [10]

### Quality assurance and quality control confusion Quality Assurance:

A set of activities produced to assure that the development or maintenance process is adequate to ensure a system will meet its objectives.

**Quality Control:** A set of activities produced to evaluate a developed work product. QA activities assure that the process is defined and appropriate. Methodology and standards advancement are examples of QA activities. A QA review would target on the process elements of a project - e.g., are requirements being defined at the proper level of detail.

QC activities focus on identifying defects in specific output - e.g., are the defined requirements the right requirements Quality Assurance makes confirms you are doing the right things, the right way. Quality Control makes assure the results of what you have done are what you expected. testing is one example of a QC activity, but there is other examination difference is that QA is process dependent and QC is product dependent. Testing therefore is product dependent and thus is in the QC domain. Testing for quality of product is not assuring quality, it is managing it.

The term "quality assurance" and "quality control" is sometimes used vice-versa, but there is an important difference. Quality control generally refers to analysing of raw material, packaging components, and final

product for conformance to established requirements. quality assurance is a term that involve quality control, but has broader meaning to include procedures, personnel training, record keeping and facility design and monitoring. The philosophy of a quality assurance program is to build quality into the product, rather than to rely only on final product testing to cull out defective product. [11, 12]

### III. QUALITY ANALYSIS OF HERBAL FORMULATIONS

#### Raw material quality evaluation

##### 1. Morphological evaluation

A technique of qualitative analysis used in the analysis of morphology and sensory reports of the entire medication is herbal drug assessment by size, shape, colour, odour, style, and particular features like bit, texture, etc.

**E.g.** Aromatic odour of umbelliferous fruit, Sweet odour of liquorice, fractured surface on cinchona, cascara bark

##### 2. Microscopical evaluation

It involves detailed examination of drugs and can be used to identify organized drugs by their known histological characters. It is mostly used for qualitative evaluation of crude drugs organized in absolute and potency forms with the help of microscopic. Various cellular tissues, trachoma's, stomata, starch granules, calcium oxalate crystals and aleurone grains using microscope are some of the important parameters that play an important role in the identification of specific crude drugs [13]

**Significance** - This method allows detailed drug studies and is a tool for standard drug identification. Is considered an important factor in the qualitative evaluation of organized herbal medicines.

- **Palisade Ratio:** It is defined as average no. of palisade cells beneath each epidermal cell.

**Table 1:** Palisade Ratio

Sr.no.	Name of Drug	Palisade ratio
01	Atropa belladonna	5-70
02	Digitalis Lanata	2.5-6.5

- **Stomatal number:** It is average number of stomata per square mm of the epidermis of the leaf

**Table 2:** Stomatal number

Sr.no.	Name of Drug	Stomatal number
01	Cotton	6
02	Ground nut	9
03	Tomato	7

- **Vein islet number:**

The vein-islet number is average number of veinislet per square mm of leaf surface midway between midrib and margin. Various species of drugs are distinguished by vein-islet number e.g. the tinnevely senna and therefore the senna are distinguished by the distinction within the range of veins, twenty seven and twenty two severally. [13]

- **Vein termination number:**

It is defined as the no. of veinlet termination per sq. mm of the leaf surface midway between midrib and margin. [13]

##### 3. Physical analysis [13]

When evaluating bound medications, physical constants are frequently considered. These include the following: wet contents, density, optical rotation, refraction, temperature, viscosity, and solvent solubility. All of these physical characteristics are useful for locating and identifying the substances present in plants. The majority of medicines have defined chemical components that are responsible for their biologic or pharmacologic effect. The purpose of a qualitative chemical analysis is not to identify bound drugs or to verify their purity. Chemical methods of analysis are used for active component isolation, purification, and identification.

- **Ash value:** The residue left after burning herbal remedies is known as the ash value, which represents naturally occurring minerals. Used to assess the purity of raw materials. It can be analyzed by determining various ash values. In herbal medicine, higher ash represents impurities. [14]

Method: 2-3 g of ground drug is burned in a tared silica dish at a temperature not exceeding 450 °C, cooled and weighed. Calculate the ash content based on the air-dried drug.

**Table 3:** Example of ash value

Sr.no.	Name of Drug	ash value % W/W
01	Acacia Catechu	NMT 15%
02	Rawulfia Surpentina	NMT 8%

- **Refractive index:** Refractive index gives an idea of purity. A ray of light bends as it travels from a thinner medium to a denser medium. This bending of light is called refraction. Therefore, the ratio of the speed of light in a vacuum to the speed of light in a substance is known as the refractive index of the second medium. It is considered an important tool for standardization as it is constant for liquids of a certain purity level. It is affected by the wavelength of incident light, temperature, and pressure.

**Table 4:** Example of refractive index.

Sr.no.	Name of Drug	Refractive index.
01	Caraway Oil	1.4838 – 1.4858
02	Clove oil	1.527 – 1.535

- **Determination of specific optical rotation:** It depends on a phenomenon called polarization. Polarization means that light rotates clockwise, called right-handed, and counterclockwise, called left-handed, when the plane of polarization passes through the liquid.

It can be calculated using the formula:

$$D_{25} = 100 \times \phi lc$$

Where,  $\phi$  = observed rotation in drug at -25°

D = D line of sodium light

l = length of polarimeter tube.

c = concentration of substance in % w/v.

- **Melting Point:** Phytochemicals and herbal medicines have different melting points. It is relatively constant for phytochemicals and contains mixed chemicals for herbal medicines.
- 4. **Biological analysis methodology:** Some drugs have particular biological and pharmacologic properties that are employed in their study. This action is undoubtedly made possible by a certain type of ingredient present in the plant extracts. Live animals' entire and isolated organs were used in the studies for analysis. Bioassays are used to assess the drug's potency during manufacture. [14]

#### **IV. BASIC REQUIREMENTS FOR PLANT CONSTRUCTION OF HERBAL INDUSTRY AS PER QUALITY ASSURANCE**

The raw ingredients used to make medicines are real, of the required quality, and free of contamination. The manufacturing process follows the guidelines and upholds purity requirements. Adequate quality control methods are implemented, and the factory-produced medicine that is freely available and for sale is of good enough quality. Each licensee must develop manufacturing methodologies and processes for medicines that should be unbrokenly recorded as a handbook for access and review in order to meet the goals outlined above.

- **Plant Premises the industrial facility needs enough room for**
  - a. Collecting and storing raw materials;
  - b. Industrial zones
  - c. Local control unit and testing resources available on site.
  - d. The Finish Goods Shop
  - e. Office

f. Bought a bad shop

- **General Requirements:** Location and Surroundings away from open biodegradable pollution, drain, and factories manufacturing unpleasant odor, fumes, dirt or smoke.
- **Buildings:** Hygienic and free from cobwebs, insects and rodents Designed to stop Cross-contamination.
- **Water supply:** Pure and Potable quality of water. Adequate provision for laundry of premises.
- **Disposal of Waste:** Predisposal Treatment and tips for pollution management to be followed.
- **Health, Clothing, Sanitation and Hygiene of staff:** Workers to be free from contagious diseases Uniform Suitable for climate and nature of labor as well as coverings for hands, feet and head where needed coverings for hands, feet and head.
- **Facilities for private cleanliness.** Clean towels, soap, cleaning brushes, lavatories, amendment rooms & place for keeping personal belongings.change rooms & place for keeping personal.
- **Medical Services:** First aid facilities ought to be offered. First aid facilities ought to be offered.
- **Medical Examination:** At the time of employment and a minimum of once during a year least once during a year.
- **Raw Materials Store Separate and adequate facilities for:**
  - a. Prevent stuff contamination or rodents & contamination or rodents & insect infestation. .
  - b. Preserve self-life Preserve self-life
  - c. Raw materials of metallike origin /
  - d. Raw materials of mineral origin
  - e. Raw materials from animal supply
  - f. Fresh herbs
  - g. Dry herbs or plant components.
  - h. Excipient etc
  - i. Volatile oils, perfumes
  - j. Plant extracts, exudates
- **Finished goods Store:** Storage area with the proper racks and shelves in stock packaging and labelling of the completed product properly. Approved Product completion by internal management labs. Certain conditions for storage.
- **GLP:** GLP thinks about with the structure method and conditions with that the Laboratory studies area unit planed, performed, monitored, recorded and rumored.
- **Personnel:** To be headed by associate freelance person.
- **Duties:** To prepare specifications and testing ways for raw materials and finished product. To sample, test, approve or reject RMs, PMs, semi-finished product and finished product. To supervise and monitor He adequacy of storage conditions. Maintenance of the records of each process where testing of finished product is not possible.
- **Records:** Batch Manufacturing Records (BMR) Distribution Records (to facilitate recall) Record of Market Complaints and Adverse Drug Reactions Shelf-life.

## V. QUALITY CONTROL LEGAL ASPECTS & DOCUMENTATION

1. **Quality Control:** Management charts, sample strategies, device technique limits, unit dosage type controls, testing programme methods, and control of production processes are some examples of applied mathematics quality management. Methods for identifying products, adulteration, and misbranding. Keeping of records. Bioavailability bio-equivalence. Dependability of the manufacturer info on the manufacturer and the medicine. Pharmaceutical processing, packaging, and storage. Administration of components, containers, and closures. Regulations on packaging and labelling. Review for GMP compliance standard for potable water. Style, construction, upkeep, equipment, and storage of the premises.
2. **Quality Perspectives of herbal products:** Standing of Ayurvedic-herbal product quality norms. Databases for material identification and authentication. Ayurvedic-herbal product chemo- and bio-profiling. Protocols for determining the purity of products, spotting adulterants, knockoffs, pathogenic microorganisms, and chemical residues. Scientific research and clinical experiments to support old beliefs.

3. **Pharmaceutical Process Validation:** restrictive basis, validation of sterile product & non-sterile product and processes thence. Analytical technique validation. Validation of pc motor-assisted processes.
4. **Drug Regulatory Aspects:** organization's for regulating drugs on a national and worldwide level. Recent modifications to the federal food, drug, and cosmetic statutes. Applications for new drugs, studies on their effectiveness, reviews of their implementation and over-the-counter products, and medication listings. Clinical studies, product responsibility, and drug recalls. ICH arrows. ISO certification and a United Nations organization. Trademarks, copyright, and patents.
5. **Documentation:** Documentation connections and relevance, legal requirements and procedures, and critical document evaluation.



**Figure 2:** Flow Chart of principles of GLP

## VI. PHYSICAL QUALITY ASSURANCE

Until now, quality assurance of phytopharmaceutical products has been addressed solely from a chemical and physiological standpoint. The physical quality of plant extracts, on the other hand, is just as critical for the producer and processor. Many plant extracts exist in a form that makes further processing even more difficult, if not impossible, without the addition of appropriate adjuvant substances. As a result, extracts of Crataegus fruits, Curcuma extracts, and many others cannot be dried into more manageable dry products using roller, belt, or spray drying. Male fern extract is one such example, which is produced as a solvent-free thin extract. Since the ratio of active substances to corresponding plant substances remains unchanged, the producer just has to declare the steps he has taken.

## ACKNOWLEDGEMENT

Thanks to Institute of Pharmacy Pathrikar Campus, Highway No 06 Jalna – Chhatrapati Sambhaji nagar road Badnapur.

## VII. CONCLUSION

Quality control of herbal medicines aims to ensure their quality, safety and efficacy, Chemical makers are central to our current quality control operations. fluorescence quenching, Combining Chromatography and Spectrophotometry, Biological Assays, Use of Biomarkers in Fingerprinting, and More newer technique available for the standardization of herbal drug. The quality of herbal products can be assured by careful

monitoring of the herbal ingredients and the use of GMP. Quality assurance ensures that you are doing the right things in the right way. Quality Assurance is a process-oriented discipline. It includes the monitoring and reporting mechanisms that ensure the pharmaceutical industry's plethora of regulations are followed. [22]

### VIII. REFERENCES

- [1] A.N.M. Alamgir Phytoconstituents—active and inert constituents, metabolic pathways, chemistry and application of phytoconstituents, primary metabolic products, and bioactive compounds of primary metabolic origin *Prog. Drug Res.*, 2 (2018), pp. 25-164
- [2] Chopra, P., & Sindhu, R. K. (2020). Standardization and Evaluation of Herbal Drugs Is Need of the Review Article : Standardization and Evaluation of Herbal Drugs Is Need of the Hour in Present Era. 20(November), 7883–7889.
- [3] Calixto J.B, "Efficacy, Safety, Quality control, marketing and regulatory guidelines for herbal medicines; Brazillian Journal of medical and Biological research, Volume 33 (2000), page no.179-189.
- [4] Edwin E., Sheeza E, Vaibhav J.and Shweta D., "Toxicology of herbs pharma times, Volume 37, page no. 27-29.
- [5] Bensky D., *Chinese Herbal Materia Medica* (Revised edition) 1993.
- [6] Baby K.L, U Zutschi, C.L Chopra, N.V Amla, "Picrorhiza an Ayurvedic Herb May Potentiate Photo Chemotherapy in Vitiliva.
- [7] World Health Organization, "Quality assurance of pharmaceuticals" , 1st edition reprint 2002 , volume II , published by pharma book syndicate, Hyderabad
- [8] Dr. Mukherjee k. pulok, "Quality Control Of Herbal Drugs", first edition 2002, published by Business Horizons, Page no. 124, 129, 132,186, 189, 193, 214, 217, 219, 653, 658, 679, 680
- [9] Malik Vijay, "Drugs and Cosmetic Act", 1940, 7th Edition, published by Eastern Book company, page no.407
- [10] Mosaic, Inc., Software Risk Management Services, 205 N. Michigan Ave., Suite 2211
- [11] Kokate C.K., Purohit A.P., Gokhale S.B., 25th edition, December 2003, published by Nirali prakashan, Pune, Page no. 100, 101, 102, 103, 104.
- [12] Sivarajah. V.V and Belachandra I., "Ayurvedic Drugs and Their Plant Sources", Oxford and IBH, publishing Co. Pvt. Ltd, New Delhi 50 (1994).
- [13] Prakash, K. D., & Sayali, G. (2017). Current Quality Control Methods for Standardization of Herbal Drugs. *International Journal of Pharmaceutics & Drug Analysis*, 5(3), 82–95.
- [14] DrVijaykumar D, Dahlia S „Practical Book of Herbal Drug Technology" 2nd edition Nirali Prakashan (Herbal Tablets)
- [15] Herbal drug technology book of thakur publication page no - 231,232
- [16] Herbal drug technology book of Nirali Prakashan page no- 33-37 (Asava and Arishta) [8 Technology"; 1st edition, P V Prakashan.
- [17] Quality control methods for plants mutual by who guildlines (quality evaluation methods herbal Drugs)
- [18] Maurice MIWU, *Hand Book of African Medicinal Plants*, CRC, press Tokyo, 263 (1963).
- [19] United States, "USP 25/ Nf 20 2002", First Asian Edition, published by U.S pharmacopoeia Convention, INC.
- [20] Lachman Leon, Lieberman A. Herbert, Kanig L. Joseph, "The theory and Practice of industrial pharmacy", 3rd edition , published by Varghese Publishing House, Page no. 297, 298, 299, 300, 301, 302, 303, 804.
- [21] Dr. Mukherjee k. pulok, "Quality Control Of Herbal Drugs", first edition 2002, published by Business Horizons, Page no. 124, 129, 132,186, 189, 193, 214, 217, 219, 653, 658, 679, 680.
- [22] Monomancy T. Labels' potency claim often inaccurate, analysis finds, *Los Angeles Times* 1998 August 31; A10